Privacy Policy Disclosure

Date: 04/01/2026

What does Tailwinds Wealth, LLC do with your information?

Why? Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What? The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and income
- Account numbers and balances
- Wage and salary information
- Information about your assets and properties

When you are no longer our customer, we continue to share your information as described in this notice.

How? All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Tailwinds Wealth, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Tailwinds Wealth, LLC share?	Can you limit sharing?
For our everyday business purposes– such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes– to offer our products and services to you	Yes	No
For joint marketing with other financial companies	Yes	No
For our affiliates' everyday business purposes– information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes– information about your creditworthiness	Yes	Yes
For our affiliates to market to you	Yes	Yes
For nonaffiliates to market to you – Only if your Investment Adviser Representative leaves Tailwinds Wealth, LLC, retires or sells his or her practice	Yes	Yes

Other Important Information:

Please refer to the Part 2A of Form ADV Firm Brochure you received for additional information about Tailwinds Wealth, LLC. If you have questions or concerns, please don't hesitate to contact us. You may have other privacy protections under applicable state laws. To the extent these state laws apply, we will comply with them when we share information about you.

If Registered in California. For California residents: We will not share information we collect about you with non-affiliated third parties, except as permitted by law, such as to process your transactions or maintain your account. We will limit sharing with affiliates to the extent required by California law.

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Tailwinds Wealth, LLC, a SEC Registered Investment Adviser

How does Tailwinds Wealth, LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards as well as secured files and buildings. To protect you from "phishing" and other fraudulent activities, we will never request that you provide personal information to us via unsolicited e-mails, text messages or pop-up windows.
How does Tailwinds Wealth, LLC collect my personal information?	We collect your personal information, for example, when you • Sign an investment advisory agreement with us • Provide financial information on suitability forms to us so that we can provide our advisory services We may also receive information about you from our affiliates and your accountants, lawyers, or tax preparers regarding your investments.
Why can't I limit all sharing?	Federal law gives you the right to limit only • sharing for affiliates' everyday business purposes–information about your creditworthiness • affiliates from using your information to market to you • sharing for non-affiliates to market to you We may also receive information about you from our affiliates and your accountants, lawyers, or tax preparers regarding your investments.

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. • Tailwinds Financial Group, LLC • Tailwinds Group, LLC • Tailwinds Insurance, LLC • Tailwinds Wealth, LLC
Non-affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. • Signal Wealth, LLC • Charles Schwab • Orion • Redtail CRM • Holistiplan • Nitrogen Wealth, Inc. • Right Capital • Zocks Communications, Inc • Wealth.com • Calendly • Google Gemini • Anthropic • Comply • Jotform • Snappy Kracken • Virtru Non-affiliates we share with can include financial service providers that assist us in servicing your accounts, such as securities broker-dealers, custodians and nonfinancial companies, such as service providers that assist us with portfolio management and accounting, mailing statements, and others.
Joint Marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. At this time, we have no formal joint marketing agreements.

Questions?

Call us at 651-243-0535. You may visit our office at 3640 Talmage Circle Suite 100, Vadnais Heights, MN 55110.

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